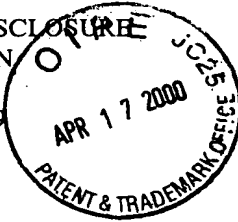


INFORMATION DISCLOSURE CITATION		ATTY. DOCKET NO.: RXSD 1000-1		SERIAL NO.: 09/407,751			
PTO-1449		APPLICANT: Vincent Pluvinae et al.		APR 24 2000			
FILING DATE: 28 September 1999		GROUP 2700					
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	FILING DATE		
<i>TMJ</i>	5,388,185	02/07/95	Terry et al.	395	09/30/91		
<i>TMJ</i>	5,737,719	04/07/98	Terry	704	12/19/95		
<i>TMJ</i>	5,802,164	09/01/98	Clancy et al.	379	12/22/95		
<i>TMJ</i>	5,737,389	04/07/98	Allen	379	12/18/95		
<i>TMJ</i>	5,539,806	07/23/96	Allen et al.	379	09/23/94		
<i>TMJ</i>	5,521,919	05/28/96	Anderson et al.	370	11/04/94		
<i>TMJ</i>	5,195,132	03/16/93	Bowker et al.	379	12/03/90		
<i>TMJ</i>	5,896,449	04/20/99	Oshidari et al.	379	09/25/96		
<i>TMJ</i>	5,717,767	02/10/98	Inanaga et al.	381	11/08/94		
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
<i>TMJ</i>	Sony Online World - Memory Stick, The Concept, <a href="http://www.world.sony.com/Electronics/MS/concept/exp2.html">http://www.world.sony.com/Electronics/MS/concept/exp2.html</a> 10/11/99, pp. 1-3						
	<b>RECEIVED</b>						
	APR 27 2000						
	TECHNOLOGY CENTER 3700						
EXAMINER <i>Tony M. Jackson</i>				DATE CONSIDERED <i>5/21/04</i>			

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INFORMATION DISCLOSURE CITATION PTO-1449		ATTY. DOCKET NO.: RXSD 1000-1		SERIAL NO.: 09/407,751			
		APPLICANT: Vincent Pluvinae et al.		<b>RECEIVED</b> APR 24 2000			
		FILING DATE: 28 September 1999		GROUP: unassigned <b>Group 2700</b>			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
7mg	5,867,457	02/02/99	Parvulescu et al.	369	33	Technology Center 2600	
7mg	5,930,758	07/27/99	Nishiguchi	704	500	07/02/97	
7mg	5,928,160	07/27/99	Clark et al.	600	559	10/30/96	
7mg	5,811,681	09/22/98	Braun et al.	73	585	04/29/96	
7mg	5,146,501	09/08/92	Spector	381	25	03/11/91	
7mg	5,910,990	06/08/99	Jang	381	1	06/13/97	
7mg	3,962,543	06/08/76	Blauert et al.	179	1	05/15/74	
7mg	5,848,171	12/08/98	Stockham et al.	381	321	01/16/96	
7mg	4,879,749	11/07/89	Levitt et al.	381	68.4	02/12/88	
7mg	4,731,850	03/15/88	Levitt et al.	381	68.2	06/26/86	
7mg	5,923,764	07/13/99	Shennib	381	60	12/18/96	
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
<b>RECEIVED</b> APR 27 2000 TECHNOLOGY CENTER 3700							
EXAMINER <i>Tony M. Jackson</i> DATE CONSIDERED <i>5/21/04</i>							

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INFORMATION DISCLOSURE CITATION  PTO-1449		ATTY. DOCKET NO.: RXSD 1000-1		SERIAL NO.: 09/407,751	
		APPLICANT: Vincent Pluvinae et al.			
		FILING DATE: 28 September 1999		GROUP: unassigned	

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U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
m j	6,055,502	04/25/00	Kitamura	704	500	09/27/97

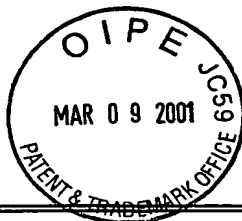
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MAY 15 2000  
PATENT & TRADEMARK OFFICE

FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	

EXAMINER <i>Tony M. Jacobson</i>	DATE CONSIDERED <i>5/21/04</i>
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SHEET 1 OF 1

INFORMATION DISCLOSURE CITATION  PTO-1449			ATTY. DOCKET NO.: RXSD 1000-1		SERIAL NO.: 09/407,751		
			APPLICANT: Vincent Pluvinae et al.				
			FILING DATE: 28 September 1999		GROUP: 2747		
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
7mg	5,495,534	02/27/96	Inanaga et al.	381	25	04/19/94	
7mg	5,371,799	12/06/94	Lowe et al.	381	25	06/01/93	
7mg	5,854,843	12/29/98	Jacknin et al.	381	25	12/18/96	
7mg	5,452,359	09/19/95	Inanaga et al.	381	25	01/18/91	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER	Ray M. Jackson			DATE CONSIDERED 5/21/04			

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Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number <b>RXSD 1000-1</b>	Serial/Patent Number <b>09/407,751</b>
		Applicant/Patent Owner <b>PLUVINAGE et al.</b>	
		Filing/Issue Date <b>28 September 1999</b>	Group Art Unit <b>2747</b>

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**  
(Use several sheets if necessary)

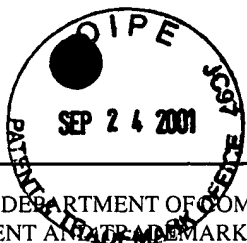
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U.S. PATENTS							
Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
<i>7mg</i>		4,284,847	08/18/81	Besserman	179	1	02/08/80
<i>7mg</i>		5,197,332	03/30/93	Shennib	73	585	02/19/92
<i>7mg</i>		3,808,354	04/30/74	Feezor et al.	179	1 N	12/13/72

FOREIGN PATENT DOCUMENTS								
Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans-lation	
							Yes	No
7mg		WO 00/64350	11/02/00	WO - PCT			X	
7mg		DE 196 00 234 A1	07/10/97	Germany			Abstract only	
7mg		DE 198 15 373 A1	10/14/99	Germany			Abstract only	
7mg		DE 299 05 172 U1	06/10/99	Germany			Abstract only	
7mg		WO 01/52737 A1	07/26/01	WO - PCT			X	

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)		

Examiner <i>Tony M. Jackson</i>	Date Considered <i>5/19/04</i>
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*1 = Copy not submitted because it was submitted in prior application SN <u>        </u> , filed <u>        </u> , 20 <u>    </u> , relied on under 35 USC §120.	
*2 = Copy not submitted because it was submitted in prior application SN <u>        </u> , filed <u>        </u> , 20 <u>    </u> , relied on under 35 USC §120.	



Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number RXSD 1000-1	Serial/Patent Number 09/407,751
		Applicant/Patent Owner PLUVINAGE et al.	
		Filing/Issue Date 28 September 1999	Group Art Unit 2747

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**  
(Use several sheets if necessary)

**U.S. PATENTS**

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
Tmj	5,928,160	07/27/99	Clark et al.	600	559	10/30/96
Tmj	5,868,683	02/09/99	Protopapas et al.	600	559	10/24/97
Tmj	6,022,315	02/08/00	Iliff	600	300	07/11/97

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No

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**OTHER DOCUMENTS** (Include author (if any), title, publisher and place of publication, date and pertinent pages)


Examiner <u>Tomy M. Jaschke</u>	Date Considered <u>5/21/04</u>
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Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number RXSD 1000-1	Serial/Patent Number 09/407,751
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner PLUVINAGE et al.	
		Filing/Issue Date 28 September 1999	Group Art Unit 2747

U.S. PATENTS						
Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
7m	6,212,496 B1	04/03/01	Campbell et al.	704	221	10/13/98

FOREIGN PATENT DOCUMENTS							
Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Trans- lation	
						Yes	No

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)		

Examiner <i>Tony M. Jecher</i>	Date Considered <i>5/19/04</i>
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Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number RXSD 1000-1	Serial/Patent Number 09/407,751
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner PLUVINAGE et al.	
		Filing/Issue Date 28 September 1999	Group Art Unit 2747

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U.S. PATENTS							
Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date	
7mg	3,571,529	03/16/71	Gharib et al.	179	107	09/09/68	
7mg	3,764,745	10/09/73	Bottcher et al.	179	1	03/05/71	
7mg	3,894,195	07/08/75	Kryter	179	107	06/12/74	
7mg	3,989,904	11/02/76	Rohrer	179	107	12/30/74	
7mg	4,051,331	09/27/77	Strong et al.	179	107	03/29/76	
7mg	4,425,481	01/10/84	Mansgold et al.	179	107	04/14/82	
7mg	4,289,935	09/15/81	Zollner et al.	179	107	02/27/80	
7mg	4,548,082	10/22/85	Engebretson et al.	73	585	08/28/84	
7mg	4,622,440	11/11/86	Slavin	381	68.1	04/11/84	
7mg	4,791,672	12/13/88	Nunley et al.	381	68	10/05/84	
7mg	4,868,880	09/19/89	Bennett et al.	381	68.2	06/01/88	
7mg	4,887,299	12/12/89	Cummins et al.	381	68.4	11/12/87	
7mg	4,926,139	05/15/90	Anderson et al.	330	294	06/06/88	
7mg	5,027,410	06/25/91	Williamson et al.	381	68.4	11/10/88	
7mg	5,046,102	09/03/91	Zwicker et al.	381	68.2	10/14/86	
7mg	5,355,418	10/11/94	Kelsey et al.	381	72	02/22/94	
7mg	5,406,633	04/11/95	Miller et al.	381	68.4	11/03/92	
7mg	5,500,902	03/19/96	Stockham et al.	381	68.4	07/08/94	
7mg	5,608,803	03/04/97	Magotra et al.	381	68.2	05/17/95	
7mg	5,663,727	09/02/97	Vokac	341	132	06/23/95	
7mg	5,706,352	06/06/98	Engebretson et al.	381	684	04/07/93	
7mg	5,721,783	02/24/98	Anderson	381	68.6	06/07/95	
7mg	5,892,836	04/06/99	Ishige et al.	381	316	10/28/96	
7mg	5,903,655	05/11/99	Salmi et al.	381	321	10/23/96	



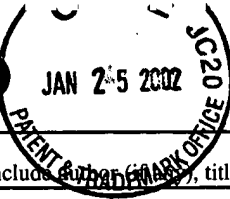
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U.S. PATENTS							
Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date	
7mg	6,072,885	06/06/00	Stockham et al.	381	321	08/22/96	
7mg	6,104,822	08/15/00	Melanson et al.	381	320	08/06/97	
7mg	5,794,201	08/11/98	Nejime et al.	704	267	06/05/95	
7mg	6,094,481	07/25/00	Deville et al.	379	390	10/08/97	
7mg	3,718,763	02/27/73	Cannon et al.	179	1	12/21/70	
7mg	4,039,750	08/02/77	Hull	179	1	07/12/74	
7mg	4,201,225	05/06/80	Bethea et al.	128	746	09/02/77	
7mg	4,677,679	06/30/87	Killion	381	74	07/05/84	
7mg	5,596,507	01/21/97	Jones et al.	364	505	08/15/94	
7mg	5,890,124	03/30/99	Galbi	704	501	10/26/95	
7mg	5,956,674	09/21/99	Smyth et al.	704	229	05/02/96	
7mg	5,974,380	10/26/99	Smyth et al.	704	229	12/16/97	
7mg	5,978,762	11/02/99	Smyth et al.	704	229	05/28/98	
7mg	5,987,418	11/16/99	Gentit	704	500	12/04/98	
7mg	6,029,126	02/22/00	Malvar	704	204	06/30/98	
7mg	6,098,039	08/01/00	Nishida	704	229	06/15/98	
7mg	6,061,431	05/09/00	Knappe et al.	379	52	10/09/98	
7mg	6,322,521 B1	11/27/01	Hou	600	559	03/31/00	

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Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Trans-lation		
						Yes	No	
7mg	WO 98/47314	10/22/98	WO - PCT			YES		
7mg	WO 99/14986	03/25/99	WO - PCT			YES		
7mg	EP 0 329 383 A2	08/23/89	EP			YES		
7mg	WO 98/05150	02/05/98	WO - PCT			YES		
7mg	WO 99/31937	06/24/99	WO - PCT			YES		
7mg	WO 98/51126	11/12/98	WO - PCT			YES		

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OTHER DOCUMENTS (Include Author, Title, publisher and place of publication, date and pertinent pages)		
7mg		Unser "B-Spline Signal Processing: Part II - Efficient Design and Applications", IEEE Transactions on Signal Processing, pp. 834-848, Vol. 41, No. 2
7mg		U.S. Provisional Application No. 60/177,695, Filed 01/24/00 entitled <i>Remote Hearing Test</i> , inventor Zezhang Hou
7mg		U.S. Provisional Application No. 60/189,010, Filed 03/13/00 entitled <i>Method and System for On-Line Hearing Examination and Correction</i> , inventor Zezhang Hou
7mg		Braida et al., "Review of Recent Research on Multiband Amplitude Compression for the Hearing Impaired" Research Laboratory of Electronics, Massachusetts Institute of Technology Cambridge, Massachusetts pp. 133-140
7mg		Lippmann et al. "Study of Multichannel Amplitude Compression and Linear Amplification for Persons with Sensorineural Hearing Loss" Acoustical Society of America February 69(2) 1981, pp.524-534
7mg		Villchur "Signal Processing to Improve Speech Intelligibility in Perceptive Deafness" The Journal of the Acoustical Society of America, Volume 53, Number 6 1973, pp. 1646-1657
7mg		U.S. Patent Application No. 09/728,623, Filed 12/01/00 entitled <i>Adaptation of Audio Data Files Based On Personal Hearing Profiles</i> , inventor Ali Mouline

Examiner <i>Tony M. Jensen</i>	Date Considered <i>5/21/04</i>
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Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number RXSD 1000-1	Serial/Patent Number 09/407,751
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner PLUVINAGE et al.	
		Filing/Issue Date 28 September 1999	Group Art Unit 2747

U.S. PATENTS							
Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date	
Tmj	5,086,464	02/04/92	Groppe	379	430	03/05/90	
Tmj	5,907,823	05/25/99	Sjoberg et al.	704	225	09/11/96	
Tmj	5,406,635	04/11/95	Jarvinen	381	94	02/05/93	
Tmj	6,078,675	06/20/00	Bowen-Nielsen et al.	381	331	04/29/96	
Tmj	5,854,978	12/29/98	Heidari	455	418	04/16/96	
Tmj	5,615,270	03/25/97	Miller et al.	381	57	06/06/95	

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Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans- lation	
							Yes	No
Tmj		WO 98/51124	11/12/98	WO - PCT			YES	
Tmj		WO 95/06996	03/09/95	WO - PCT			YES	
Tmj		AU-B-52098/96	01/16/97	Australia			YES	
Tmj		2000236280A	08/29/00	Japan			Abstract only	

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Tmj	Wireless Short Message Service (SMS) TeleCommunication Systems Web ProForum Tutorials pp. 1-18

Examiner <i>Tmj m. jacobson</i>	Date Considered <i>5/19/04</i>
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 3

### Complete If Known

Application Number 09/407,751  
Filing Date 09/28/1999  
First Named Inventor Pluvinae et al.  
Group Art Unit 2747  
Examiner Name Ho  
Attorney Docket Number RXSD 1000-1

### U.S. PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
7-1009	A1	3,962,543		Blauert et al.	06-08-1976	
7-1009	A2	4,731,850		Levitt et al.	03-15-1988	
7-1009	A3	4,879,749		Levitt et al.	11-07-1989	
7-1009	A4	5,146,501		Spector	09-08-1992	
7-1009	A5	5,195,132		Bowker et al.	03-16-1993	
7-1009	A6	5,333,195		Bowker et al.	07-26-1994	
7-1009	A7	5,388,185		Terry et al.	02-07-1995	
7-1009	A8	5,485,515		Allen et al.	01-16-1996	
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Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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Sheet 2 of 3

### Complete if Known

Application Number	09/407,751
Filing Date	09/28/1999
First Named Inventor	Pluvinae et al.
Group Art Unit	2747
Examiner Name	Ho
Attorney Docket Number	RXSD 1000-1

### U.S. PATENT DOCUMENTS

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		Number	Kind Code <sup>2</sup> (if known)			
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<b>First Named Inventor</b>	Pluvinage et al.
<b>Group Art Unit</b>	2747
<b>Examiner Name</b>	Ho
<b>Attorney Docket Number</b>	RXSD 1000-1

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Sheet	3	of	3
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Attorney Docket Number	RXSD 1000-1
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## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
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3111	C1	Sony Online World - Memory Stick, The Concept, <a href="http://www.world.sony.com/Electronics/MS/concept/exp2.html">http://www.world.sony.com/Electronics/MS/concept/exp2.html</a> (Oct. 11, 1999), pp. 1-3.
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